



# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.

03500.014529.1

First Named Inventor or Application Identifier

Yukiko IWASAKI, et al.

Express Mail Label No.

17858 U.S. PTO  
10/669002

09/24/03

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

## ADDRESS TO:

Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

1. ☒ Fee Transmittal Form  
(Submit an original, and a duplicate for fee processing)

2. ☐ Applicant claims small entity status.  
See 37 CFR 1.27.

3. ☒ Specification *Total Pages* **84**

4. ☒ Drawing(s) (35 USC 113) *Total Sheets* **12**

5. ☒ Oath or Declaration *Total Pages* **2**

a. ☐ Newly executed (original or copy)

b. ☒ Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 17 completed)

i. ☐ **DELETION OF INVENTOR(S)**  
Signed Statement attached deleting  
inventor(s) named in the prior application, see  
37 CFR 1.63(d)(2) and 1.33(b).

6. ☒ Application Data Sheet. See 37 CFR 1.76

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer  
Program (Appendix)

8. ☐ Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)

a. ☐ Computer Readable Form (CRF)

b. Specification Sequence Listing on:

i. ☐ CD-ROM or CD-R (2 copies); or

ii. ☐ paper

c. ☐ Statements verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers

10. ☐ 37 CFR 3.73(b) Statement ☒ Power of Attorney  
(when there is an assignee)

11. ☐ English Translation Document (if applicable)

12. ☒ Information Disclosure ☐ Copies of IDS  
Statement (IDS)/PTO-1449 Citations

13. ☒ Preliminary Amendment

14. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)

15. ☒ Claim to Priority (Without Priority Documents)

16. ☒ Other: Letter Transmitting Formal Drawings (with five formal  
drawing sheets)

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

☐ Continuation ☒ Divisional ☐ Continuation-in-part (CIP) of prior application No. 09/586,887, filed June 5, 2000  
Prior application information: Examiner C. L. Novacek Group/Art Unit: 2822

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 18. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Label

05514

(Insert Customer No. or Attach bar code label here)

or ☐ Correspondence address below

NAME

Address

City

Country

State

Telephone

Zip Code

Fax



CLAIMS	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) CALCULATIONS
	TOTAL CLAIMS (37 CFR 1.16(c))	7-20 =	0	X \$ 18.00 =	\$ -0-
	INDEPENDENT CLAIMS (37 CFR 1.16(b))	2-3 =	0	X \$ 84.00 =	\$ -0-
	MULTIPLE DEPENDENT CLAIMS (if applicable) (37 CFR 1.16(d))			\$280.00 =	\$ -0-
				BASIC FEE (37 CFR 1.16(a))	\$750.00
			Total of above Calculations =		\$750.00
	Reduction by 50% for filing by small entity (Note 37 CFR 1.9, 1.27, 1.28).				
	TOTAL =				\$750.00

19. Small entity status

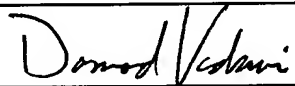
- a. ☐ A small entity statement is enclosed
- b. ☐ A small entity statement was filed in the prior nonprovisional application and such status is still proper and desired.
- c. ☐ Is no longer claimed.

20. ☒ A check in the amount of \$ 750.00 to cover the filing fee is enclosed.

21. ☐ A check in the amount of \$ \_\_\_\_\_ to cover the recordal fee is enclosed.

22. The Commissioner is hereby authorized to credit overpayments or charge the following fees to Deposit Account No. 06-1205:

- a. ☒ Fees required under 37 CFR 1.16.
- b. ☒ Fees required under 37 CFR 1.17.
- c. ☐ Fees required under 37 CFR 1.18.

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED	
NAME	Damond E. Vadnais - Reg. No. 52,310
SIGNATURE	
DATE	September 24, 2003

03500.014529.1

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:	)	
	:	Prior Examiner: C. L. Novacek
Yukiko IWASAKI, et al.	)	
	:	Prior Group Art Unit: 2822
Application No.: Unassigned (Divisional	)	
of Appln. No. 09/586,887,	:	
filed June 5, 2000)	)	
	:	
Filed: September 24, 2003	)	
(filed concurrently herewith)	:	
	)	
For: PROCESS FOR PRODUCING	:	September 24, 2003
SEMICONDUCTOR MEMBER,	)	
PROCESS FOR PRODUCING	:	
SOLAR CELL, AND ANODIZING	)	
APPARATUS	:	

Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

LETTER TRANSMITTING FORMAL DRAWINGS

Sir:

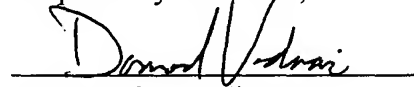
Transmitted herewith are five formal drawing sheets to be substituted for the corresponding drawing sheets presently on file in the above-identified application.

The substitute drawing sheets incorporate changes made in parent Application No. 09/586,887. In particular, in FIG. 2D, the reference sign "205" has been deleted; in FIG. 3A and FIG. 3B, "WEFER" has been changed to read --WAFER--; in FIG.

5, reference signs "504" and "505" have been deleted; in FIG. 10E, reference sign "1105" and "1106" have been changed to --1106-- and --1105--, respectively; and in FIG. 12F, reference sign "1307" has been deleted.

Applicants' undersigned attorney may be reached in our Washington, D.C. office by telephone at (202) 530-1010. All correspondence should continue to be directed to our below-listed address.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Damond E. Vadnais", is written over a horizontal line.

Attorney for Applicants  
Damond E. Vadnais  
Registration No. 52,310

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